

Comparative evaluation of ventilator-associated pneumonia in critically ill COVID-19 and patients infected with other corona viruses: a systematic review and meta-analysis

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Abstract

The Coronavirus disease 19 (COVID-19) pandemic is associated with an unprecedented requirement for intensive care unit (ICU) admission, invasive mechanical ventilation, and thereby significantly increasing the risk of secondary nosocomial pneumonia, ventilator-associated pneumonia (VAP). Our study aims to identify the overall incidence of VAP, common organisms associated with it, and outcome in COVID-19 patients in comparison to the non-SARS-CoV-2 infected critically ill ventilated COVID-19 patients. A comprehensive screening was conducted using major

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Contributions: SJ, SS, search strategy, study selection, data extraction, manuscript drafting; PK, conceptualization, study selection, data extraction. All the authors have read and approved the final version of the manuscript and agreed to be accountable for all aspects of the work.

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This article is distributed under the terms of the Creative Commons Attribution Noncommercial License (by-nc 4.0) which permits any noncommercial use, distribution, and reproduction in any medium, provided the original author(s) and source are credited. electronic databases), from January 1st 2020 to May 31st 2021, as per the PRISMA statement. In our rapid review, we included a total of 34 studies (involving 8901 cases. Overall VAP was reported in 48.15 % (95% CI 42.3%-54%) mechanically ventilated COVID-19 patients and the mortality rate was 51.4% (95% CI 42.5%-60%). COVID-19 patients had increased risk of VAP and mortality in comparison to other non-SARS-CoV-2 viral pneumonia (OR=2.33; 95%CI 1.75-3.11; I²=15%, and OR=1.46; 95%CI 1.15-1.86; I²=0% respectively). Critically ill COVID-19 patients are prone to develop VAP, which worsens the outcome.

Introduction

The coronavirus disease 19 (COVID-19) pandemic, caused by severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a global concern due to excessive mortality, and grave socioeconomic consequences [1]. The symptoms can be ranged from mild, self-limiting respiratory tract infection to severe progressive pneumonia, which may lead to multiorgan failure and death [2]. The majority of the patients suffering from severe acute respiratory syndrome (SARS) usually require admission to the intensive care unit (ICU), and >80% of patients admitted to ICU requiring invasive mechanical ventilation [3,4]. Approximately 20% of the hospitalized COVID-19 patients required ICU management [5]. Subsequently, critically ill patients are at significant risk of developing ventilator-associated pneumonia (VAP) [6,7].

The reasons may include breach of natural defences by invasive devices [8], sedation, and impairment of coughing and mucociliary clearance. The immunoparetic effects of critical illness [9,10] widespread use of corticosteroids and empiric immunosuppressive medication, increased prevalence of co-morbid conditions [7], and the prolonged duration of artificial ventilation [4] may be the predisposing factors.

Deng *et al.* [11] indicated that bacterial pneumonia may be associated with mortality for patients with SARS-CoV-2 infection. Similarly, Wang *et al.* [12] reported almost four times higher levels of procalcitonin, a bacterial infection marker, among the deceased in comparison to the surviving COVID-19 patients. Another recent study also reported that COVID-19 associated with bacterial pneumonia was considerably more severe [13]. These findings indicate that VAP could worsen the clinical condition of COVID-19 patients. However, there is an important knowledge gap regarding the incidence, prevalence, and characteristics of secondary bacterial infection associated with SARS-CoV-2



(Severe Acute Respiratory Syndrome Coronavirus2) requiring special attention by health professionals. Therefore, to treat COVID-19 patients and the responsible use of antibiotics, it is crucial to identify the VAP associated with COVID-19, and the culprit pathogens associated with it.

We performed a systematic review of the studies and medical literature to identify the overall incidence of VAP, common organisms associated with, and outcome in COVID-19 patients in comparison to the patients with non-SARS-CoV-2 viral pneumonia.

Methods

This rapid systematic review was planned to identify the burden of VAP, common pathogen associated with, and outcomes in critically ill COVID-19 in comparison to patients with non-SARS-CoV-2 viral pneumonia. In view of the current public health emergency, our study was not registered for rapid decision-making. A comprehensive literature search on the major databases (PubMed Central, Medline, EMBASE, Ovid, and the Cochrane library), Google Scholar (https://scholar.google.com), and preprint platforms MedRxiv (https://www.medrxiv.org), from January 1st,2020 to May 31st, 2021 were performed with the following keywords: 'COVID-19' OR 'SARS-CoV-2'OR 'SARS' OR 'MERS' OR 'CORONA' AND 'VAP' OR 'Ventilator-Associated Pneumonia', as per the PRISMA statement [14].

Given the rapidly evolving nature of the literature on SARS-CoV-2, bibliographies of relevant articles were also reviewed. Articles published in the English language, both prospective and retrospective, presenting clinical data for patients diagnosed with SARS-CoV-2 infection were included for full-text review. Randomized controlled trials, cohort studies, and case series were incorporated. The editorials, letters, and abstracts without full text and full articles except those in the English language were excluded (Figure 1).

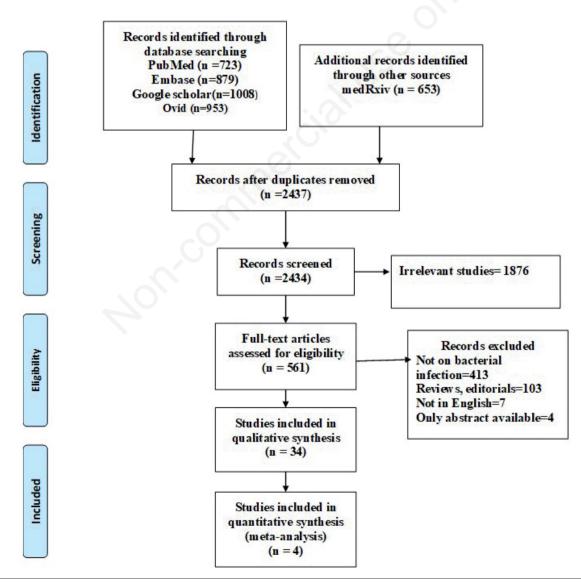


Figure 1. PRISMA 2009 flow diagram.



ACCESS

Study selection

All titles and the abstracts were independently screened by two authors (SJ, SS) to determine whether all studies met the Inclusion criteria; then all full-text studies meeting the above criteria were then reviewed by two authors for final inclusion in the rapid systematic review. Disagreements that could not be resolved via consensus were then reviewed independently by an additional author (PK). The reference section of articles selected for inclusion was also searched to identify any additional studies for potential inclusion.

Data extraction

The data extraction was performed by two authors (SJ, SS) from the included studies using a spreadsheet, and cross-checked for accuracy and completeness. The following variables were extracted: study design (retrospective versus prospective), country/region of study, sample size, disease severity, associated comorbidities (i.e., chronic obstructive pulmonary disease, cardio-vascular disease, hypertension), bacteriological testing methods, patients with acute respiratory co-infection, the proportion of patients with secondary bacterial infection, respiratory organisms identified and their proportions, and outcome.

Data synthesis

We (SS and PK) used Medcalc version 20 and Revman version 5 for conducting the frequentist meta-analysis. The Odds ratio (OR) with 95% confidence intervals (CIs) was assessed as per the Cochrane Handbook for Systematic Reviews of Interventions [15]. Statistical heterogeneity was assessed with the I² statistic, >50% indicating substantial heterogeneity.

Results

Basic characteristics

Thirty-four (34) studies (seven prospective cohort studies and one case-control study) out of 4216 identified publications were included after satisfying the inclusion criteria (Figure 1; Table 1). Among the 32 COVID-19 related secondary bacterial infection studies, 23 (72 %) reports were from European countries, 6 (19%) were from China, 3 (9%) from the United States, and 28 studies addressed VAP in SARS-CoV-2 infection. Two non–COVID-19 studies were reported from Hong Kong and Qatar. Five articles were preprints.

Outcome

The overall prevalence of VAP was 48.15 % (95% CI 42.3% 54%) in 8901 mechanically ventilated COVID-19 patients (Supplementary Figure 1a). Among the 755 patients with other coronaviruses, SARS and MERS the prevalence of VAP was 24.17% (95% CI 10.7% to 40.8%) (Supplementary Figure 1b).

The prevalence of mortality was 51.4% (95% CI 42.5%-60%)

Table 1. Characteristics of the included studies

Organisms	<i>S. aureus</i> (24%), Enterobacteriaceae (20%), <i>S. pneumoniae</i> (12%), <i>H. influenzae</i> (12%), other viruses (12%), other gram negative bacteria (12%), other gram positive bacteria (8%)	<i>Pseudomonas aeruginosa</i> (35%), methicillin-resistant <i>S. aureus</i> (32%), and <i>Klebsiella pneumoniae</i> (19%).	Enterobacteriaceae (54%), Pseudomonas aeruginosa (22%), Staphylococcus aureus (28%)	Staphylococcus aureus (28%), Pseudomonas aeruginosa (21%), Enterobacteriaceae (14%), Acinetobacter (2%)	Not specified Not specified	Not specified Pseudomonas aeruginosa (31.4%), Staphylococcus aureus (22.8%), Klebsiella (25.7%)	Enterobacteriaceae (49%), <i>Pseudomonas aeruginosa</i> (22.3%), <i>Staphylococcus aureus</i> (12.2%), <i>Klebsiella</i> (11.5%), <i>Escherichia coli</i> (8.4%), Acinetobacter (7.3%)	To be continued on next page
Type of Mortality s infections	26	28	46	234	Not specified	Not specified	166	
N E	VAP	VAP	VAP	VAP	VAP	VAP	VAP	
ts ing rt rt	96	171	100	389	16	35	287	
s No. of patients requiring ventilator support	188	586	151	774	28	107	568	
Coronavirus No. of type patien requir ventils suppor	SARS-CoV-2	SARS-CoV-2	SARS-CoV-2	SARS-CoV-2	SARS-CoV-2	SARS-CoV-2	SARS-CoV-2	
Region	France	Italy	France	Italy	USA	Spain	France, Spain, Greece, Portugal, & Ireland	
Nature	Retrospective, MC France	Retrospective, MC Italy	Retrospective, MC France	Retrospective, MC Italy	Case control	Retrospective, SC	Retrospective, MC	
Study	Blonz et al., 2021 [16]	Giacobbe <i>et al.</i> , 2021 [17]	Chatti <i>et al.</i> , 2021 [18]	Grasselli <i>et al.</i> , 2021 [19]	Tsitsiklis <i>et al.</i> , 2021 [20]	Suarez-de-la-Rica et al., 2021 [21] Retrospective, SC	Rouzé <i>et al.</i> , 2021 [22]	
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Table 1.

		streptococcus (36%),	pneumoniae (25.9%), hylococcus aureus (7.4%)	nas aeruginosa (17.9%),	as aeruginosa (37%)	lococcus aureus us fumigatus (9%)				itive cocci (30.4%)		ccus aureus and Haemophilus	umigatus, Escherichia coli,			srobacter (18%), <i>Klebsiella</i>), <i>Escherichia coli</i> (9.2%)		iterobacterales (36%)	aeruginosa (13.3%), Burkhold	obacter cloacae and K. aerogei	vus, A. fumigatus, Pseudomo	29.4%) and <i>Acinetobacter</i> sp.						us avium, Klebsiella pneumon.
	Organisms	Staphylococcus aureus (39%), Viridans streptococcus (36%), Enterobacteriaceae (28.6%)	Enterobacteriaceae (66.6%), Klebsiella pneumoniae (25.9%), Pseudomonas aeruginosa (18.5%), Staphylococcus aureus (7.4%)	Enterobacteriaceae (64.1%), Pseudomonas aeruginosa (17.9%), Escherichia coli (12.8%)	Enterobacteriaceae (70%), Pseudomonas aeruginosa (37%)	Pseudomonas aeruginosa (38%) Staphylococcus aureus (methicillin-resistant) (24%), Aspergillus fumigatus (9%)	Not specified	Enterobacteriaceae (72%)	Not specified	Enterobacteriaceae (54.3%), Gram-positive cocci (30.4%)	Not specified	Streptococcus pneumoniae, Staphylococcus aureus and Haemophilus influenzae	Acinetobacter baumannii, Aspergillus fumigatus, Escherichia coli, Enterobacter cloacae	S. aureus (36.4%), P. aeruginosa(27.3%)	Not specified	Pseudomonas aeruginosa (24.9%), Enterobacter (18%), Klebsiella (12.7%), Staphylococcus aureus (12.7%), Escherichia coli (9.2%)	Staph aureus	Pseudomonas aeruginosa (46%) and Enterobacterales (36%)	Enterobacterales (14%), Pseudomonas aenuginosa (13.3%), Burkholderia cepacian (6.5%)	Klebsiella pneumoniae, S. aureus, Enterobacter cloacae and K. aerogenes	Klebsiella pneumoniae. Aspergillus flavus, A fumigatus, Pseudomonas aeruginosa, Serratia marcescens	MRSA (47.1%), <i>Stenotrophomonas</i> sp. (29.4%) and <i>Acinetobacter</i> sp. (14.7%)	3 Not specified	38 Not specified	65 Not specified	31 Not specified	19 Not specified	Streptococcus intermedius, Enterococcus avium, Klebsiella pneumoniae,
	of Mortality ions	34	11	31	17	31	595	46	12	55	141	94	ъ	8	Not specified	115	14	Not specified	4	Not specified	32	Not specified	Secondary bacteriaemia	10				
		VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	VAP	Second	Second	Second	Second	Second	VAP
	No. of infections	72	21	39	43	21	1893	58	33	92	175	433	2		10	205	40	19	19	34	9	22	30	35	143	28	15	12
	lo. of atients equiring entilato upport	162	6	_	0	140	3376	0	~	176	240	1204		1	0	521	0	0		+	2	~	0	6	339*	~1	130	15
	Coronavirus N Lype p v v s	SARS-CoV-2 10	SARS-CoV-2 39	SARS-CoV-2 81	SARS-CoV-2 50	SARS-CoV-2 14	SARS-CoV-2 3:	SARS-CoV-2 90	SARS-CoV-2 48	SARS-CoV-2 1'	SARS-CoV-2 24	SARS-CoV-2 15	SARS-CoV-2 34	SARS-CoV-2 74	SARS-CoV-2 50	SARS-CoV-2 5	SARS-CoV-2 40	SARS-CoV-2 40	SARS-CoV-2 40	SARS-CoV-2 94	SARS-CoV-2 37	SARS 83	SARS-CoV-2 30	SARS-CoV-2 39	SARS-CoV-2 3:	SARS-CoV-2 32	SARS-CoV-2 1:	RS
	Region	USA	Brussel	UK	France	Spain	France, Belgium, and Switzerland	France	Switzerland	France	Italy	RUSSIA	Switzerland	Spain	China	Europe	Italy	Switzerland	Switzerland	UK	China	Hong Kong	China	China	China	China	USA	Qatar
2	Nature	Retrospective, SC	Retrospective, SC	Retrospective, SC	Retrospective, SC	Retrospective, SC	Prospective, MC	Retrospective, SC	Retrospective, SC	Retrospective, MC	Prospective, MC	Retrospective, SC	Retrospective, SC	Retrospective, SC	Retrospective, MC	Retrospective, MC	Prospective, MC	Prospective, SC	Prospective, SC	Prospective, MC	Retrospective, SC	Prospective, SC	Retrospective, SC	Retrospective,MC	Retrospective, SC	Retrospective, MC	Retrospective, MC	Retrospective, MC
t	Study	Pickens <i>et al.</i> , 2021 [23]	Moretti <i>et al.</i> , 2021 [24]	Maes <i>et al.</i> , 2021 [25]	Luyt <i>et al.</i> , 2021 [26]	Bardi <i>et al.</i> , 2021 [27]	Schmidt <i>et al.</i> , 2021 [28]	Razazi <i>et al.</i> , 2021 [29]	Buetti et al., 2021 [30]	Liitjos et al., 2021 [31]	Gamberini <i>et al.</i> , 2021 [32]	Sharov <i>et al</i> ., 2021 [33]	Søgaard <i>et al.</i> , 2021 [34]	Garcia <i>et al.</i> , 2020 [35]	Zhou <i>et al</i> ., 2020 [36]	Nseir <i>et al.</i> , 2020 [37]	De Pascale <i>et al.</i> , 2020 [38]	Gysin et al., 2020 [39]	Buehler <i>et al.</i> , 2020 [40]	Dhesi <i>et al.</i> , 2020 [41]	Yang <i>et al.</i> , 2020 [42]	Yap <i>et al</i> ., 2004 [43]	Cai et al., 2020 [44]	Feng et al., 2020 [45]	Wang et al., 2020 [12]	Zhou et al., 2020 [7]	Goyal <i>et al.</i> , 2020 [3]	34 Abid et al., 2021 [46] Retrospective, MC Qatar ME
	SL	×.	6	10.	11	12.	13.	14.	15.	16.	17.	18.	19.	20.	21.	22.	23.	24.	25.	26.	27	28.	29.	30.	31	32	33	34

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(Supplementary Figure 2a) among the COVID-19 patients and 33.7% (95% CI 30.35% to 37.19%) other non-COVID 19 patients with VAP (Supplementary Figure 2b).

Meta-analysis

VAP

The prevalence of VAP in COVID-19 patients in comparison to the other non-SARS-CoV-2 virus infected patients (non-COVID-19) was evaluated in four articles with a total of 1541 patients. Significantly VAP was higher among the SARS-CoV-2 infected patients in comparison to patients with other non-COVID 19 patients. (OR=2.33; 95%CI 1.75-3.11; $I^2=15\%$) (Figure 2a).

Mortality

Mortality was assessed in 1541 patients. The COVID-19 patients had an increased risk of mortality in comparison to the other non-COVID 19 patients (OR=1.46; 95%CI 1.15-1.86; $I^2=0\%$) (Figure 2b).

The most common pathogens involved in VAP are Gram-negative microorganisms- *Escherichia coli*, *Klebsiella pneumoniae*, *Pseudomonas aeruginosa* and *Acinetobacter baumannii*. Staphylococcus aureus is the main reported Gram-positive microorganism

Publication bias

The publication bias was assessed for the studies on VAP among COVID-19 patients. As per the Begg's test (p=0.69) and Egger's test (p=0.83) quantitively a publication bias is unlikely.

Discussion

We found that almost half of the critically ill COVID-19 patients developed VAP. Similarly, a recent systematic review also

reported a higher incidence of bacterial co-infection in critically ill COVID-19 patients [47]. Another recent study also reported an increased occurrence of VAP in COVID-19 patients [48]. Though VAP is defined as pneumonia that occurs more than 48 hours following endotracheal intubation and invasive mechanical ventilation [49] a wide variation is noticed regarding the criteria for VAP, across the studies. The diagnosis can be challenging as a range of non-infectious diseases may mimic the clinical picture of radiographic infiltrates, systemic inflammation, and impaired oxygenation that typifies VAP [50]. Irrespective of definition, accurate diagnosis of VAP requires clinical signs of infection, microbiological documentation, and chest X-ray findings, which may be difficult to interpret due to pre-existing and overlapping parenchymal injury [51]. Based upon diagnostic criteria as per different settings the incidence of VAP may range between 5 to 40% [52] and represents approximately half of hospital-acquired pneumonia in patients on artificial invasive ventilation [53]. While many studies. failed to separate the reporting on critical and noncritical care settings, a large proportion of reported bacterial coinfections within coronavirus studies appear to be healthcare-associated, including central line-associated bloodstream infections, and ventilator-associated pneumonia [12,44].

The COVID-19 patients frequently require prolonged invasive mechanical ventilation (MV) including prone ventilation, heavy sedation, and muscle blockers for several weeks which together with immunoparetic effects of critical illness, along with the exhausted medical resources in a pandemic scenario and cross-contamination accounts for a high risk of secondary hospital-acquired infections, primarily ventilator-associated pneumonia. VAP has already been reported as a complication in COVID-19-hospitalized patients [44,54]. The SARS-CoV-2 is reported to cause immune dysregulation due to increased cytokine storm, leading to hyper-inflammation and defects in the lymphoid function [55,56]. This virus infects most of the ciliated cells in the alveoli, leading to progressive accumulation of debris and fluid in the

а

b

3	SARS-C	0V-2	Other VIRAL in	fection		Odds Ratio		Odds	Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI		M-H, Rando	om, 95% Cl	
ROUZE et al, 2021	205	568	107	482	59.8%	1.98 [1.50, 2.60]				
RAZAZI et al, 2020	58	90	36	82	18.8%	2.32 [1.25, 4.28]				
LUYT et al, 2021	43	50	28	45	7.7%	3.73 [1.37, 10.14]				
Llitjoset al,2021	92	176	11	48	13.7%	3.68 [1.77, 7.68]				
Total (95% CI)		884		657	100.0%	2.33 [1.75, 3.11]			•	
Total events	398		182							
Heterogeneity: Tau ²	= 0.02; Chi	² = 3.55	df = 3 (P = 0.31)	; I ² = 15%			L	-	10	100
Test for overall effect	t: Z = 5.78 (P < 0.00	0001)	2			0.01	0.1 1 SARS-COV-2	Other Viral pneur	100 [°] nonia

	SARS-C	0V-2	Other viral inf	ection		Odds Ratio	Odds Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Fixed, 95% Cl	M-H, Fixed, 95% Cl
Llitjoset al,2021	55	176	12	48	11.6%	1.36 [0.66, 2.82]	
LUYT et al, 2021	4	50	0	45	0.4%	8.81 [0.46, 168.29]	
RAZAZI et al,2020	36	90	25	82	14.1%	1.52 [0.81, 2.86]	+
ROUZE et al,2021	164	568	107	482	73.9%	1.42 [1.07, 1.88]	-
Total (95% CI)		884		657	100.0%	1.46 [1.15, 1.86]	◆
Total events	259		144				
Heterogeneity: Chi ² =	1.51, df=	3 (P = 0	.68); I² = 0%				0.01 0.1 1 10 100
Test for overall effect:	Z = 3.09 (I	P = 0.00	12)				Favours [experimental] Favours [control]





lungs, and consequently, acute respiratory distress syndrome (ARDS) develops [57].

The World Health Organization recommends oral instead of nasal intubation, the head-bed elevation of 30-45°, use of the closed suctioning system, periodically change of ventilator circuit, heat moisture exchanger every 5-7 days or when it is soiled or malfunctions to reduce the incidence of VAP in mechanically ventilated COVID-19 patients [58]. It is also important to remember that oral hygiene can decrease the risks of VAP [59]. All efforts are necessary to avoid VAP in COVID-19 patients. Secondary-infection has the potential to worsen the clinical condition and can increase the morbidity and mortality in these patients, as well as prolong and increase the costs of hospitalization. So early identification and the pathogens responsible for causing VAP is the key to successful treatment.

In this manuscript, we aim to highlight the potential risk of ventilator-associated bacterial pneumonia in COVID-19 patients.

Strengths and limitations

We followed a robust literature search strategy with guidance from a healthcare information specialist and we used a dualreviewer process to screen and select appropriate studies meeting the inclusion criteria.

However, this systematic review had several limitations that must be considered. The bacterial infections may be under-or overrepresented, due to a lack of consistent bacteriological diagnostic and testing methods and a specific testing method. Furthermore, to differentiate bacterial colonization from VAP poses a challenge, especially in the context of COVID-19 infection. We only examined a subset of COVID-19 studies that reported the presence or absence of bacterial infections and the temporal relationship between bacterial and viral infection was not explicit; hence differentiating co-infection from secondary infection was challenging. This study included coronavirus infections from predominantly Europe, which may limit the generalizability of the findings and could impact the likelihood of bacterial secondary infection. Similarly, many studies failed to differentiate the healthcare setting and stage of COVID-19 infection where coinfection was identified. This makes differentiating community coinfection from nosocomial coinfection, such as hospital-acquired pneumonia or ventilator-associated pneumonia, in critical care difficult.

Conclusions

Critically ill COVID-19 patients are prone to develop VAP, which increases morbidity and mortality. Further studies regarding early identification of VAP and the culprit pathogens in COVID-19 patients to ensure antimicrobial stewardship for successful treatment are the need of the hour.

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