

Vitamin D and tuberculosis in children: a role in the prevention or treatment of the disease?

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Abstract

Despite the growing number of published studies, the role of vitamin D in the prevention or treatment of tuberculosis remains unclear. In this review we analyze current scientific literature to provide evidence about the relationship between vitamin D and

TB, with a special focus on the pediatric population. While *in vitro* studies have shown relevant antimycobacterial immune-stimulatory and immunosuppressive effects of vitamin D, this has not panned out *in vivo* with active TB. On the contrary, there is some evidence that this tool could work as prevention – both against TB infection as well as progression from latent to active infection. However, only a few studies have evaluated this correlation in children. The potential link between tuberculosis and vitamin D levels is promising. If effective, vitamin D supplementation of at-risk populations would be an affordable public health intervention, particularly in light of the worldwide increase in identified TB cases and drug-resistance. Vitamin D might represent a new, affordable, safe and easy to access drug for the prevention and treatment of TB. For stronger evidence, considering the features of infection (relative low incidence of reactivation of latent infection in immunocompetent patients) we need clinical trials with large numbers of participants conducted in endemic regions with a prolonged follow-up time.

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Introduction

Tuberculosis (TB) is distinguished into latent infection and active disease. However, the latest evidence overcomes this separation, and the spectrum of *Mycobacterium tuberculosis* (Mtb) infection encompasses a variety of conditions, from asymptomatic to fatal disease [1-4].

Several factors are believed to influence the clinical manifestations of TB infection, such as bacterial load, virulence, and host immune response.

Many processes are currently being studied for the development of new therapies capable of influencing the evolution from latent infection to active disease. New strategies are represented by enhancement of T-helper-1 (Th1) antimycobacterial immune responses [5], upregulation of innate immunity against mycobacteria (e.g., nitric oxide or vitamin D) [6], inhibition of inflammatory tissue-damaging immune responses (e.g., corticosteroids) [7], and metabolic shutdown of TB bacilli to a non-replicative state with increased sensitivity to antibiotic therapy (e.g., tumor necrosis factor α [TNF α] inhibitors) [8,9].

We performed this review of the current scientific literature in order to analyze the connection between vitamin D and pediatric TB infection.

Methods

We conducted a narrative non-systematic review of PubMed and Medline of English articles published from 1945 to 2020. The search MeSH terms were Tuberculosis AND Vitamin D AND children. We decided to exclude every manuscript that did not address our question. We organized the search and the description of the study results in the following sections: historical aspects, biochemistry, immunomodulatory effects, observational studies and clinical trials.

Historical aspects

The role of vitamin D in the host-pathogen interaction has been suggested in a series of studies, having a promising use in both active and latent TB [9-22]. The first clinical features of rickets were described in 1651 [23]: the autopsy of a child performed by the authors revealed the presence of TB mediastinal lymphadenopathy [24], describing a potential relationship between vitamin D deficiency and TB.

The first study regarding the advantages of vitamin D in TB patients was made in 1848: in addition to anti-tuberculosis therapy, patients were treated with cod liver oil, rich in vitamin D. They demonstrated weight gain, disease arrest, and a reduction in mortality compared to controls [25]; as a result, during the 19th century in Europe, children took cod liver oil to prevent tuberculosis [26,27]. However, due to its unpleasant taste, cod liver oil was subsequently replaced by natural (heliotherapy) or artificial (phototherapy) exposure to sunlight [28].

Further advances in the use of heliotherapy for TB treatment were carried out by Finsen: in 1893 he treated cutaneous TB with filtered sunlight and, in 1903, he was awarded the Nobel Prize in Physiology and Medicine for his findings [28].

In 1934, the Carlo Forlanini hospital was inaugurated in Italy, a sanatorium for the treatment of TB patients which exploited also alternative techniques, as sun exposure and therapeutic fresh air [29]. In 1945, Charpy successfully treated lupus vulgaris (cutaneous tuberculosis) with vitamin D₂ [30]. Subsequently, vitamin D was used with success for the treatment of pulmonary TB [31] and disseminated TB [32].

Biochemistry

Vitamins D₂ and D₃, distinguished by their side chains [33], are involved in the metabolism of calcium and phosphate [34]. They can be acquired with food, but vitamin D₃ is mainly synthesized in the skin by the reaction of a precursor with UVB radiation [35]. Subsequently, vitamin D₃ must be converted into an active hormone: initially 25-hydroxyvitamin D (25[OH]D) [36] is formed in the liver, then it is converted into the active form 1- α ,25-hydroxyvitamin D (1 α ,25[OH]₂D) with a second hydroxylation reaction [37]. This second reaction is carried out in the kidney, but recent evidence showed that this conversion may also occur in innate immune cells (such as monocytes and macrophages). Furthermore, via binding to a vitamin D receptor (VDR) exposed on macrophages, 1 α ,25[OH]₂D is able to regulate gene transcription and play an immunomodulatory role [38-41].

Immunomodulatory effects of 1 α ,25[OH]₂D

1 α ,25[OH]₂D is able to stimulate the immune response both positively and negatively [42-46].

Among the processes involved in the immune response to Mtb are included: differentiation of monocytes, activation of macrophages *via* toll-like receptors [42], phagocytosis [43], maturation of phagolysosome [44], production of anti-microbial peptides (cathelicidin, β -defensin 2, hepcidin). Each one of these needs the intervention of 1 α ,25[OH]₂D. Moreover, Salamon *et al.* found that vitamin D₃ can interfere with lipid metabolism in infected cells to the detriment of Mtb growth [47]. However, 1 α ,25[OH]₂D is able to depress the immune response through the production of anti-inflammatory cytokines, the downregulation of Th1- and Th17-mediated responses, and the stimulation of regulatory T cells [48].

Afsal *et al.* showed that 1,25-(OH)₂D₃ can reduce the share of cytolytic molecules ($p < 0.05$), decreasing inflammation and consequent tissue damage of the adaptive immune response [49].

This effect could be useful in TB meningitis (TBM), where immune-mediated damage occurs, while the killing of Mtb is promoted thanks to the immunostimulatory effect of the vitamin and the activation of macrophages [50]. The dynamic immunomodulatory effects of vitamin D₃ have also been recently confirmed by Gough and colleagues [51].

Although several manuscripts analyzed the role of 1 α ,25[OH]₂D *in vitro*, studies investigating its effect on the immune response to Mtb *in vivo* are rare. Two studies examined the consequences of vitamin D supplementation on the innate and adaptive immune response to Mtb infection but obtained contradictory results [52-54]. Coussens *et al.* randomized 95 adult patients on anti-tuberculosis therapy for pulmonary TB to high-dose vitamin D or placebo [55]. In their study, the authors highlighted that the vitamin D group showed a more radical resolution of the antigen-dependent and independent cytokine storm in patients with TT and Tt genotypes and with the tt TaqI polymorphism [56]. Recently, Reeme *et al.* performed an *in vivo* model (C3HeB/FeJ mice) in which dietary vitamin D supplementation attenuated advanced-stage Mtb-related disease, while not influencing bacilli load [57].

Vitamin D and TB: observational studies

Based on the *in vivo* studies available to date, it is unclear whether vitamin D levels could lead to susceptibility to TB infection, transition from latent infection to active disease, or treatment efficacy. Furthermore, most of the available studies are retrospective, thus unable to determine whether low levels of the vitamin are the result of or a contributing cause to TB infection [9-21].

First Davies *et al.* observed increased rates of active disease associated with low vitamin D levels in British immigrants, possibly due to less sunlight exposure [58]. In 2008 Nnoaham *et al.* performed a meta-analysis that correlated a high risk of active TB with low vitamin D levels [59]. In addition, Zeng *et al.* reported in a meta-analysis of 15 studies that 25(OH)D levels below 25 nmol/L were associated with an increased risk of active TB [60]. Recently, Gou *et al.* confirmed, in a meta-analysis including 10 studies, the association between vitamin D deficiency and pediatric TB (OR, 1.78; 95% CI, 1.30-2.44; $p < 0.05$) [61]. Consequently, starting with Davies' observation, several studies

were published evaluating the association between vitamin D concentration and active TB. Many of these manuscripts linked vitamin D deficiency to the onset of active TB [62-69], while 3 studies found no statistically significant association [11,70-72]. For example, in Greenland, where the assumption of marine mammalian liver, rich in 25-hydroxyvitamin D, is widespread, both high and low levels of 25[OH]D were reported in patients with TB compared with controls [72]. In Malawi, low vitamin D values were more frequently observed in TB patients with respect to controls, suggesting that vitamin D deficiency may be related to TB susceptibility [73]. In a recent paper we observed low levels of vitamin D in an infant with pulmonary and chest wall tuberculosis [74]. Talat *et al.* followed up the family contacts of patients with TB in Pakistan without subjecting them to a preventive treatment [75]. They observed that the risk of progression to active TB was higher among patients with concentrations of 25[OH]D <17.5 nmol/L (7 of 30 total patients with active TB), while no contact with 25[OH]D >33.5 nmol/L developed the disease. In a similar cross-sectional study conducted in Indonesia on a population of 178 under-five children with history of close TB contact, Yani *et al.* observed a significant association of latent TB with vitamin D status, exclusively in children less than 1 year of age [76]. Koh *et al.* [77], comparing the notifications of tuberculosis and the data from the UK Meteorological Office, carried out a study with the aim of evaluating the incidence of tuberculosis in Birmingham from December 1981 to November 2009. In particular, the authors noted the presence of a seasonality, with an increase of cases in summer rather than winter ($p=0.001$). Although a subgroup analysis was not performed for the pediatric population, they hypothesized that reduced sun exposure during the winter season (with a relative drop in vitamin D levels) altered the immune response and caused an increase in cases during the following summer. Similar findings were described in Israel during a 10-year study from 2001 to 2011 [78]. Visser and colleagues [79] retrospectively studied children with “definite” or “probable” TB in South Africa. The authors observed that a 100-hours decrease per month in the exposure to sunlight was associated with a 45% increase in the incidence of TB 3 months later (0.69, 95% CI 0.54-0.88, $p=0.002$). None of the various studies in the literature has ever evaluated these aspects prospectively. Indeed, these different hypotheses should be confirmed by studies considering the onset of active TB in close contacts randomized to isoniazid (INH) plus vitamin D, INH plus placebo, or vitamin D plus placebo. However, ethical issues, especially in children, hinder the attainment of this research. Gupta *et al.* underlined an association between low vitamin D levels and variants in Toll-like receptor genes significantly influencing the risk of tuberculosis in HIV infected or exposed infants, demonstrating the importance of genetic host variants [80] (Table 1).

Vitamin D and TB: clinical trials

Unlike prevention studies, vitamin D therapy in active TB has been extensively investigated [81-83]. In 2006 Martineau performed a review of the literature regarding the administration of vitamin D in adults with TB [9,84]. However, he reported inconclusive results: the studies were heterogeneous, with small sample sizes and sub-optimal therapeutic doses. Some trials described predominantly negative results, with limited benefits in routine clinical practice with regard to composite clinical scores, mortality [84] and time to culture negativity (85). Instead, a trial showed advan-

tages in the subgroup with the Taq1 25-hydroxyvitamin D VDR receptor polymorphism of the tt genotype, with a faster rate of sputum negativization in patients treated with the vitamin [86]. More recent studies reported better results on vitamin D supplementation than in the past, as regards sputum negativization and the improvement of the radiological picture at 6 weeks [44,87].

The SUCCINT study analyzed 250 adult patients randomized to receive vitamin D or placebo in addition to anti-tuberculosis therapy [88]. At the 12-week follow-up, the treated arm reported a major weight gain (95% CI 1.99 - 3.23, $p=0.009$), an improvement in the radiographic picture ($p=0.004$, 95% CI 0.15 - 0.79) and an increase in IFN- γ production compared to patients with low baseline vitamin D values ($p=0.021$). Instead, the two study groups did not show significant differences in sputum negativization and clinical TB score. However, a main limitation of this study is the lack of follow-up until the end of anti-TB therapy (6 months), thus not being able to exclude whether the effects of vitamin D supplementation could be greater at 6 months or if the differences reported at 12 weeks were only transient [88]. A small placebo-controlled trial of 24 children did not describe any significant effect on the primary outcomes with oral vitamin D supplementation [89].

Ganmaa *et al.* studied the consequences of vitamin D therapy on the conversion of the tuberculin skin test (TST). They performed a double-blind, placebo-controlled trial of 120 children with low vitamin D values in Mongolia [90]. The authors reported a higher conversion rate of TST in the control arm than in the treatment group; however, this may be the result of a «booster phenomenon» after repeated TST, rather than the acquisition of LTBI (the interferon gamma release assay (IGRA) was not performed at the end of the follow-up). A recent clinical trial by Khandelwal *et al.* analyzed 266 children with intra-thoracic tuberculosis (140 children received vitamin D supplementation, while others did not). Patients who did not demonstrate sputum conversion after intensive treatment had significantly lower Vitamin D levels than others. Despite the limitations of the study (healthy controls not involved, small number of children with poor outcome), the number of children involved in this clinical trial is interesting [91,92] (Table 1).

Vitamin D, TB and microbiota

Mtb is able to remain in a latent state in the human body, resulting in the development of an active disease after many years. Granuloma development in TB involves the interaction between several elements. A recent paper showed that the lung microbiota is among the factors that could influence its formation. Furthermore, vitamin D is able to modulate both the host immune response and the intestinal and lung microbiome. Consequently, the interaction between these three elements – microbiome, granuloma and vitamin D – might be able to determine the evolution of the infection [93].

Conclusions

Vitamin D is a micronutrient that, in addition to the effects on bone metabolism, is able to influence the host defense and inflammation. Theoretically, its deficiency can be associated with lung diseases, including TB. Furthermore, due to the increased incidence of both TB cases worldwide [94] and drug-resistant *Mtb*, a great deal of interest has arisen in the use of vitamin D.

Table 1. Main evidence of the existing literature.

Authors	Study design	Participants	Main findings	Comments
Davies, 1985 [58]	Observational study	/	Increased rates of active disease with low vitamin D levels	Review of the existing literature
Nnoaham <i>et al.</i> , 2008 [59]	Systematic review and meta-analysis	7 studies included; adult population	High risk of active TB with low vitamin D levels	No normal distribution and commonvariance
Zeng <i>et al.</i> , 2015 [60]	Meta-analysis	11 studies involving adults, 2 children and 2 both	25(OH)D levels below 25 nmol/L were associated with an increased risk of active TB	High heterogeneity
Gou <i>et al.</i> , 2018 [61]	Meta-analysis	10 studies in children	Association between vitamin D deficiency and pediatric TB	Small number of subjects; observational studies included; heterogeneity
Nielsen <i>et al.</i> , 2010 [72]	Case-control study	216 adults included: mean age 39 years	Both high and low levels of 25 [OH]D were reported in patients with TB compared with controls	Retrospective design; limited number of participants with active-TB
Mastala <i>et al.</i> , 2013 [73]	Cross-sectional sample	157 adult patients	Low vitamin D values were more frequently observed in TB patients	No control healthy group
Talat <i>et al.</i> , 2010 [75]	Cohort follow-up study	128 (44 children and 84 adults)	The risk of progression to active TB was higher among patients with concentrations of 25[OH]D <17.5 nmol/L	Small number of participants; no information about diet or sunlight
Yani <i>et al.</i> , 2017 [76]	Cross-sectional study	178 children <5 years	Association of latent TB with vitamin D status	No data about risk factors for TB or low vitamin D
Koh <i>et al.</i> , 2013 [77]	Ecological study	9739 cases (children and adults)	Notifications of TB higher in summer than winter	Reduced sun exposure during the winter season, caused an increase in cases during the following summer; no information about socioeconomic status
Gray <i>et al.</i> , 2012 [79]	Retrospective study	328 children (median age 7.8 years)	Decreased sunlight exposure was associated with an increased incidence of TB	Retrospective nature, no IGRA testing
Gupta <i>et al.</i> , 2016 [80]	Case-cohort study	466 infants (mean age 7.59 months)	Low vitamin D levels and variants in Toll-like receptor genes increase the risk of TB in HIV infected or exposed infants	Low breastfed children
Martineau <i>et al.</i> , 2006 [84]	Narrative review	3 RCT and 10 case series (one study with only children, while two with only adults)	Review of 3 clinical trials and 10 case series with vitamin D as treatment	Inconclusive results
Wejse <i>et al.</i> , 2009 [85]	Double-blind, randomized, placebo-controlled trial	365 adult patients	Vitamin D does not improve clinical outcome among patients with TB	Possible dose insufficient
Martineau <i>et al.</i> , 2011 [86]	Randomized Controlled Trial	126 adult patients (median age 30.7 years)	Faster rate of sputum negativization with the tt genotype of the TaqI VDR polymorphism	Suspected incomplete adherence to therapy; short follow-up
SUCCINT study, 2013 [88]	Clinical trial	259 adult patients (mean age 27.8 years)	The treated arm reported a major weight gain, an improvement in the radiographic picture and an increase in IFN- γ production	There were not differences in sputum negativization and clinical TB score; lack of follow-up until the end of anti-TB therapy
Morcos <i>et al.</i> , 1998 [89]	Placebo-controlled trial	24 children	Clinical improvement in the treatment group	Small study; no significant effect on the primary outcomes
Ganmaa <i>et al.</i> , 2012 [90]	Double-blind, placebo-controlled trial	120 children (mean age 13 years)	Higher conversion rate of TST in the control arm than in the treatment group	Probable «booster phenomenon» after repeated TST
Khandelwal <i>et al.</i> , 2014 [91]	Clinical trial	266 children (mean age 106.9 months)	Patients without sputum conversion after treatment had significantly lower Vitamin D levels	No healthy controls, small number of children with poor outcome. High number of children involved

In fact, it could represent a new, cheap, safe and easily accessible drug for the prevention and treatment of tuberculosis. Since numerous studies were performed with ambiguous results, we carried out this review of the existing literature with the aim of clarifying its usefulness in the field of TB. Although *in vitro* studies have shown significant antimycobacterial effects of vitamin D, this has not been confirmed *in vivo*. Regarding prevention, based on the available manuscripts, it is unclear whether vitamin D levels may influence susceptibility to TB infection or the transition from latent infection to active disease. On the other hand, older studies on its use in anti-TB therapy described predominantly negative results. More recent manuscripts showed encouraging results, especially as regards the time of sputum negativization. However, the results are not conclusive and heterogeneous. To date, the correlation between TB and vitamin D levels requires further research. For stronger evidence, considering the characteristics of the infection (low incidence of latent infection reactivation in immunocompetent patients) we need clinical trials with large numbers of participants conducted in endemic regions with a prolonged follow-up time.

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